Financial Planning Agreement
VANTAGE FINANCIAL PARTNERS

General Purpose
By this agreement, the client engages Vantage Financial Partners Limited (hereinafter “Advisor”) to provide financial coaching services, as described below, and Vantage agrees to provide these services. Any and all data submitted will be treated on a strictly confidential basis.

Services to be Provided: (Please select one)

	Initial Plan	Review Session
☐ Coaching Advantage – Family	$1,350	$350
☐ Coaching Advantage – Individual	$900	$350

Fee Structure
The Client agrees to pay the fee noted above in three payments for the initial plan or one payment for the review session, payable at each scheduled training session. Payment may be made via check, payable to ‘Vantage Financial Partners’ or by credit card. Vantage reserves the right to change the Fee at any time with written notice sent at least 30 days prior to the change.

Services to be Provided by Advisor
The Advisor will provide three "training" sessions in the initial plan and one review session in the retainer plan. In these sessions, a Relationship Manager with Vantage coaches the client to produce his or her own net worth statement and cash flow review. In addition, the Advisor instructs the client on retirement and education planning, financial decision-making, and taxes and estate planning as well as on an analysis of financial services. The Advisor does not provide a financial plan under this program. Under this agreement, the Advisor will not have discretionary power in relation to the assets of the Client.

Information to be Provided by Client
The Client will provide all relevant data and furnish such other information as may be required, including supporting and source documents. The Client promises to inform the Advisor of any material changes in the information the Client has supplied, and further agrees to indemnify and hold harmless the Advisor from any liability, which may arise in any matter or form on account of the provision of inaccurate or incomplete information, which the Client may furnish to Advisor. However, federal and state securities laws impose liabilities under certain circumstances on persons who act in good faith and therefore nothing contained in this agreement shall, in any way, constitute a waiver of limitation of any rights Client may have under federal or state securities laws.

Disclosures
The client shall make and be fully responsible for decisions relating to any advice that Vantage renders on his/her behalf. The Advisor does not provide legal or accounting advice, and therefore recommends that the client consult with an attorney and accountant on any legal and tax matter relating to the financial plan.

For Office Use Only:
RB _____
PM _____

Receipt of ADV Part II & Privacy Policy

In accordance with Rule 204-3 of the Investment Advisers Act of 1940 the client acknowledges receipt of Part II of Form ADV. If Part II of Form ADV was not delivered to the Client at least 48 hours prior to the Client entering into any written or oral advisory contract with the Advisor, then the Client has the right to terminate the contract without penalty within five business days after entering into the contract. For the purposes of this provision, a contract is considered entered into when all parties to the contract have signed the contract, or, in the case of an oral contract, otherwise signified their acceptance, any other provisions of this contract notwithstanding. Client further acknowledges receipt of Vantage's Privacy Policy.

Assignment

By reason of the terms and provisions of the Investment Advisers Act of 1940, no assignment of this agreement shall be permissible without the express written authorization of the client.

Terms of Engagement

Services will continue, annually, unless terminated by the client in writing or after a period of 15 months without a scheduled coaching session. Either party may terminate an agreement with 30 days written notice. Upon termination, the client will be reimbursed all unearned fees or an invoice will be issued for fees outstanding.

Governing Law

This agreement shall be governed in accordance with the laws of the State of Illinois.

____
Name of Client

______________________________ ____________
Signature Date

____
Name of Client

______________________________ ____________
Signature Date

____
Street Address

____
City, State, Zip

Vantage Financial Partners Limited

____
Authorized Relationship Manager

For Office Use Only:
RB _____
PM _____